

Carrier Test Insurance Company 111 Main Street Any City, AL 35801	Policy Information GL SALES 1234567-89 General Liability 05/10/2004 to 05/10/2005 AuditID: 184475 Audit Method: Physical Audit Complete Type: Regular	Insured Name and Address ABC Seafood LLC 123 Any St Tallahassee, FL 32308	Completed By Keith West Wilkinson Insurance Services 5650 Sanderson Street Suite Q Huntsville, AL 35805 Date Completed: 12/07/2006 FA Version: 3.0.5232 PID: 26
---	---	---	--

Description of Operations ... Audit 184475 ... Entity: ABC SEAFOOD LLC

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

Legal Entity: LLC

The insured is an LLC located in Tallahassee, FL operating under the name of ABC Seafood LLC. The insured is a wholesale seafood dealer. Products are purchased from fisherman and other dealers. The insured delivers to restaurants and other dealers throughout Florida using their own trucks and hired trucking concerns. All products are flash-frozen and shipped in refrigerated transport units. Warehouse workers will receive bulk products from others and break that product down to smaller quantities for shipping purposes. Products sold include clams, oysters, soft shell crabs, blue crabs, and stone crabs (15223).

Sales tax is not included in the sales exposure.

The insured does not have internet sales.

There are no retail sales.

There is no butchering done by the insured.

Officer 1 was excluded per premium basis.

Exposure Summary ... Audit 184475 ... 05/01/2004 to 05/01/2005 ... Policy 123456789 - ABCDEFG

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

State	Code	Classification	Premium Basis	Exposure
FL	15223	Meat, fish, poultry or seafood - distributors	Sales	3,477,674

Principal's Information ... Audit 184475 ... Entity: ABC SEAFOOD LLC

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

Officer 1	Member	Excluded		
LLC	FL 09	EXCLUDED		
Audit Period: 05/01/2004 to 05/01/2005	Total Amount:	0	Included At:	0
Manages from the office.				

Exposure Recap ... Audit 184475 ... 05/01/2004 to 05/01/2005

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

State	Code	Classification	Premium Basis
FL	15223	Meat, fish, poultry or seafood - distributors	Sales
		Meat/Poultry Distributor	3,477,674
		<i>Total:</i>	3,477,674
FL		EXCLUDED	
		*Officer 1 -Manages from the office.	0
		<i>Total:</i>	0

Worksheet Stacked #1 ... Audit 184475

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

Date	Gross	Col 3	Col 4	Col 5	Col 6
	Sales	EXCLUDE	15223 Meat, fish, poultry or seafood - d		
	General Ledger	Officer 1	Meat/Poultry Distributor		
		FL	FL		
May 04:				258,290	
Jun 04:				279,554	
July 04:				220,177	
Aug 04:				241,311	

Sep 04:				139,078		
Oct 04:				384,692		
Nov 04:				483,388		
Dec 04:				292,735		
Jan 05:				268,777		
Feb 05:				273,894		
Mar 05:				359,208		
Apr 05:				276,570		
		0	0	3,477,674		

General Liability Verification ... Audit 184475 ... 05/01/2004 to 05/01/2005

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

State	Code	Classification	Exposure	
FL		EXCLUDED		0

			Audit Total:	0

Worksheet Gross Total:	0	Verification Source Total:	0
-------------------------------	---	-----------------------------------	---

End of Report -- Audit No: 184475; Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

General Information ... Audit 184475 ... Policy 123456789 - ABCDEFG

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

Insured: ABC SEAFOOD LLC 123 Any St Tallahassee, FL <i>Entity: ABC SEAFOOD LLC</i> <i>Phone: 850-123-4567</i>
Records obtained from: John Doe 123 Any St Tallahassee, FL

-----**Verification Source Record**-----

Entity: ABC Seafood LLC
Verification Source Record: General Ledger
Employer's Federal ID No.: 12-3456789

1st Quarter 2005:	0
2nd Quarter 2004:	0
3rd Quarter 2004:	0
4th Quarter 2004:	0
Grand Total:	0

General Liability Checklist			
Policy number and policy period match the request?	YES	General ledger or checkbook reviewed?	YES
15 day rule observed for the audit period?	YES	Invoices reviewed for materials credit for uninsured subs?	N/A
If employees are lumped, did you provide # of employees?	N/A	G/L, did you include one principal?	N/A
Was the total subcontractor cost considered?	N/A	Did the subcontractor(s) have adequate liability limits?	N/A
General Information Checklist			
Are the records computerized?	NO	What is the condition of the records?	GOOD
Were payroll journal records examined?	NO	Were general ledger records examined?	YES

Was the check book examined?	NO	Were income tax return records examined?	NO
Were cash disbursement records examined?	NO	Were S/S Returns examined?	NO
Were cash receipt records examined?	NO	Were Certificates of Insurance examined?	NO
Were 1099 records examined?	NO	What other records were examined?	NONE
All locations/addresses shown verified/discussed on audit?	YES	Were U/C Returnes examined?	NO

Notes To Audit Department ... Audit 184475

Policy No(s): 123456789 - ABCDEFG; Policy Period: 05/10/2004 to 05/10/2005

Test Insurance Company

Any City, AL

ABC SEAFOOD LLC

Tallahassee, FL

ABC SEAFOOD LLC

Auditor: Keith West Wilkinson Insurance Services, Inc. (256) 880-2900

Exit Interview conducted on: 07/21/2005 at 11:00:00 AM

Interviewee: John Doe

Title: Office Manager

Notes To Audit Department-----

Website: www.abcseafood.com

Number of employees: 14